



ABSENTEE / TELEPHONE BIDDING

N°10221
Wine & Spirits
21-22 October 2021

Absentee bidding Telephone bidding
(Please tick the relevant option)

All bids must be received within 24 hours before the start of the sale and sent to:

Finarte Auctions S.r.l.
Via Paolo Sarpi 6
20154 Milan
Tel.: +39 02 3363801
Fax :+39 0228093761
Email: info@finarte.it

Finarte reserves the right not to accept bids that are not received within 24 hours before the start of the sale.

Paddle number (for office use only)

Notice to bidders

Please attach hereto a copy of your identity card or passport. Corporate clients should provide a copy of their articles of association together with a letter of authority authorizing them to bid on the company's behalf. Failure to provide said documentation may result in your bids not being processed. For high value lots you may be asked to provide a bank reference.

I, the undersigned First name and last name/Company _____

Client number _____

Address _____

City _____ Postcode _____

E-mail _____ Telephone _____

Fiscal code – VAT number _____

by signing this form, declare that I have read and fully accept the General Conditions of Sale delivered to me by Finarte S.p.A. and printed in the auction catalogue, published on **Finarte Auctions S.r.l.** ("Finarte") website and posted in the auction room, and authorize Finarte to:

- (a) bid on my behalf at the above sale for the following lot(s) up to the price(s) set out below;
- (b) contact me by telephone - at the telephone number indicated above - when the following lot(s) will be offered for sale in order to be able to make one or more telephone bids. Should Finarte be unable to contact me by telephone or the line break down during the sale, Finarte may execute the covering bid(s) listed below on my behalf.

LOT N°	ITEM	ABSENTEE BID (EURO) (buyer's premium excluded)	COVERING BID* (€) (OPTIONAL)

*The maximum bid amount (excluding premium) that Finarte S.p.A. is authorised to execute only if Finarte is unable to reach the client by telephone or if the line breaks down during the sale.

I, the undersigned, understand that the telephone bidding may be recorded.

For further bids, please submit a separate form.

If you register for the telephone bid, it is assumed that you will cover the lot starting price.

Telephone bids: (i) referring to lots whose minimum pre-sale estimate indicated in the catalogue is less than Euro 500,00; (ii) for an amount lower than the minimum pre-sale estimate, shall not be accepted.

Signature _____ Place, date and time _____

I, the undersigned, declare to specifically approve, pursuant to Article 1341 of the Italian Civil Code, the following clauses of the General Terms and Conditions of Sale: 2.8. (limitation of liability for data shown on video screen); 2.9 (limitation of liability for damages incurred during the display of lots); 4.1.6 (right of Finarte to refuse any person from participating in the auction); 4.1.12 (right of the auctioneer); 4.1.13 (limitation of liability for video screen); 4.2.5 (right of Finarte to refuse the agent from participating in the auction); 4.3.5 (right of Finarte not to accept written bids which are deemed not sufficiently clear or incomplete); 4.3.8 (exclusion of written bids for unlimited amounts or for an unspecified amount); 4.4.6 (limitation of liability for telephone bids); 4.4.7 (validity of telephone bids); 4.4.8 (validity of telephone bids); 4.5.6. (limitation of liability for the website); 4.5.7 (limitation of liability for the website); 5.6 (restrictions to termination in case of "counterfeiting"); 6 (Buyer's obligations and Finarte's liability to Buyers); 7.11 (retention of title); 7.12 (express termination clause); 7.13 (effects of late payment by the Buyer); 7.14 (effects of non-payment or late payment by the Buyer); 8.6 (consequences of late collection); 9.1. (transfer of risk); 9.2 (limitation of liability); 9.3 (limitation of liability for damage to the frame or the glass); 9.4 (limitation of liability for force majeure events); 10.3 (limitation of liability for packaging and shipment); 16 (applicable law and jurisdiction).

Signature _____ Place and date _____

I have read and understood the information on the processing of personal data, contained in Article 17 of the General Terms and Conditions of Sale published in the catalogue of Finarte S.p.A. and issued in accordance with Article 13 of Regulation (EU) 2016/679 and

I give consent I do not give consent

for advertising and information material on products and services of Finarte to be sent by means of automated systems, such as e-mail, fax, SMS or MMS, or by postal service or telephone calls with operator

I give consent I do not give consent

to the processing of data for profiling activities aimed at sending commercial communications on products and services similar to those already purchased (so-called "soft spam"), as well as for advertising and information material on products and services of Finarte to be sent by means of automated systems, such as e-mail, fax, SMS or MMS, or by postal service or telephone calls with operator .

Signature _____ Place and date _____

Pursuant to and for the purposes of Legislative Decree 231/2007 containing, among other things, measures on the prevention and combating of money laundering, aware of the criminal and civil liabilities as well as of the administrative effects deriving from making false or incomplete declarations, I, the undersigned represent and warrant that:

- 1. I am acting personally, or – if acting on behalf of third parties – I am acting exclusively in the name and on behalf of the person indicated in the power of attorney supplied to Finarte S.p.A.;
- 2. that the funds that I will use in the event of an award are not of an illegal origin;
- 3. if the undersigned is a legal entity, the beneficial owner is

Mr. _____

fiscal code _____

born in _____ on _____

residing in _____

(If there is more than one beneficial owner, please inform Finarte so that it can provide you with a form with more boxes.)

4. neither the undersigned nor the beneficial owner of the undersigned is a Politically Exposed Person, or a family member of a Politically Exposed Person, or a person known to be close associate with a Politically Exposed Person .

Signature _____ Place and date _____

1) Pursuant to Article 20, paragraphs 1 to 5, of Legislative Decree 231/2007, the criteria for determining the beneficial ownership of clients other than natural persons are as follows: "1. For clients other than natural persons the beneficial owner is the natural person or persons to whom direct or indirect ownership or control of the entity is ultimately attributable. 2. If the client is a joint-stock company: a) the ownership of more than 25 per cent of the client's capital, held by a natural person, is considered as direct ownership; b) the ownership of more than 25 per cent of the client's capital, held through subsidiaries, trust companies or third parties, is considered as indirect ownership. 3. In cases where an examination of the ownership structure does not allow the unambiguous identification of the natural person or persons to whom the direct or indirect ownership of the entity is attributable, the beneficial owner is the natural person or persons to whom the control of the entity is ultimately attributable by virtue of: a) control of the majority of the votes exercisable in ordinary shareholders' meetings; b) control of votes sufficient to exercise a dominant influence in ordinary shareholders' meetings; c) the existence of particular contractual obligations that allow the exercise of a dominant influence. 4. In the event that the client is a private legal entity, as per Presidential Decree No. 361 of 10 February 2000, the following are cumulatively identified as beneficial owners: a) the founders, if alive; b) the beneficiaries, if identified or easily identifiable; c) the holders of management and administration functions. 5. Where the application of the criteria set out in the preceding paragraphs does not enable one or more beneficial owners to be clearly identified, the beneficial owner(s) shall correspond to the natural person(s) holding, in accordance with their respective organisational or statutory structures, legal representation, administration or management powers of the company or client in any case other than the natural person".

2) Pursuant to Article 1, lett. dd), paragraph 2, of Legislative Decree 231/2007: "A) **POLITICALLY EXPOSED PERSONS:** are natural persons who hold office or have ceased to hold office for less than one year as: (a) President of the Republic, Prime Minister, Minister, Deputy Minister and Undersecretary, President of the Region, Regional Minister, Mayor of a provincial capital or metropolitan city, Mayor of a municipality with a population of not less than 15,000 inhabitants and similar offices in foreign States; (b) Member of Parliament, Senator, Member of the European Parliament, Regional Councilor and similar offices in foreign States; (c) Member of the central governing bodies of political parties; (d) Judge of the Constitutional Court, magistrate of the Court of Cassation or the Court of Auditors, State Councilor and other members of the Administrative Council of Justice for the Region of Sicily and similar offices in foreign States; (e) Member of the governing bodies of central banks and independent authorities; (f) Ambassador, Chargé d'Affaires or equivalent offices in foreign States, senior officer in the armed forces or equivalent offices in foreign States; (g) member of the administrative, management or control bodies of companies controlled, even indirectly, by the Italian State or by a foreign State or companies in which the Regions, provincial capitals and metropolitan cities and municipalities with a total population of not less than 15,000 inhabitants hold a majority or total interest; (h) general manager of ASL (Local Health Authorities) and of a hospital, university hospital and other bodies of the national health service; (i) director, deputy director and member of the management body or person performing equivalent functions in international organizations; B) **FAMILY MEMBERS:** are (a) parents and spouse; (b) the person bound to the politically exposed person in a civil partnership or de facto cohabitation or similar institutions; (c) children and their spouses; (d) persons bound to children in a civil partnership or de facto cohabitation or similar institutions; C) **PERSONS KNOWN TO BE CLOSE ASSOCIATES WITH A POLITICALLY EXPOSED PERSON:** are (a) natural persons who, under this Decree, hold jointly with politically exposed person the beneficial ownership of legal entities, trusts and similar legal institutions or entertain close business relationships with the politically exposed person; (b) natural persons who hold only formally the total control of an entity known to have been incorporated, de facto, in the interest and for the benefit of a politically exposed person".